

# OAA State Plan on Aging Requirements Checklist



In August 2021, the Administration for Community Living (ACL) released State Unit on Aging Directors Letter #01-2021 that detailed new guidance for state aging agencies development of State Plans on Aging (SPA) under the Older Americans Act (OAA). The guidance included several updates and changes from prior guidance, including changes that reflected the 2020 reauthorization of the OAA. To support state aging agency development of their SPAs in line with the new guidance, ADvancing States has adapted a tool originally developed by the Ohio Department of Aging that other states can use to review their SPA drafts and ensure fidelity to the guidance. This new document joins a toolbox of other ADvancing States resources on SPA development to support states, including:

- **Tools for Planning – Developing a State Plan on Aging.** This resource provides ideas and identifies decision points for state aging agency directors/staff as they develop SPAs, including environmental scanning, goals and objectives, and outcomes measures. <http://www.advancingstates.org/initiatives/aging-policy-and-programs/tools-planning>
- **Map of all State Plans on Aging.** This one-of-a-kind resource houses a compilation of all State Plans on Aging and is updated periodically. It includes SPAs from states who had plans effective October 1, 2022, which were the first cohort of states to develop SPAs under the new guidance. <http://www.advancingstates.org/initiatives/aging-policy-and-programs/map-state-plans-aging>
- **The 2021 State Plan Guidance (SPG)** can be found here: <http://www.advancingstates.org/sites/nasuad/files/u34008/ACL%202021%20State%20Plan%20Guidance.pdf>

For any questions regarding this tool or State Plans on Aging please contact Adam Mosey, Director of Aging Policy, at [amosey@advancingstates.org](mailto:amosey@advancingstates.org)

Older Americans Act (OAA) Core Programs	Requirement included in draft		Location
	YES	NO	
OAA core programs are found in Titles III (Supportive Services, Nutrition, Disease Prevention/Health Promotion and Caregiver Programs), VI (Native American Programs), and VII (Elder Rights Programs) and serve as the foundation of the national aging services network. All core programs must be addressed in the State Plan.			
Coordinating Title III programs with Title VI Native American programs (Sec. 307(a)(21));	<input type="checkbox"/>	<input type="checkbox"/>	
Ensuring incorporation of the new purpose of nutrition programming to include addressing malnutrition (Sec. 330)	<input type="checkbox"/>	<input type="checkbox"/>	
Preventing, detecting, assessing, intervening, and/or investigating elder abuse, neglect, and financial exploitation (Sec. 721(a))	<input type="checkbox"/>	<input type="checkbox"/>	
Supporting and enhancing multi-disciplinary responses to elder abuse, neglect and exploitation involving adult protective services, LTC ombudsman programs, legal assistance programs, law enforcement, health care professionals, financial institutions, and other essential partners across the state (Sec. 721(b)(10)(C)(v))	<input type="checkbox"/>	<input type="checkbox"/>	
Age and dementia friendly efforts (Sec. 201(f)(2))	<input type="checkbox"/>	<input type="checkbox"/>	
Screening for fall related TBI (Sec. 321(a)(8))	<input type="checkbox"/>	<input type="checkbox"/>	
Strengthening and/or expanding Title III & VII services	<input type="checkbox"/>	<input type="checkbox"/>	
Improving coordination between the Senior Community Service Employment Program (SCSEP) and other OAA programs	<input type="checkbox"/>	<input type="checkbox"/>	
Integrating core programs with ACL's non-formula-based grant programs	<input type="checkbox"/>	<input type="checkbox"/>	

<p><b>COVID-19 Topic Area</b></p>	<p>COVID-19 highlighted the overall importance of the services that make it possible for older adults to live independently, created a national awareness of the impact of social isolation on older adults and caregivers, and increased awareness of the need to plan for future disasters. It also transformed the aging network; drove rapid innovation and creation of new approaches that will endure beyond recovery; and increased awareness of the need to plan for future disasters. Finally, Congress provided significant increases in funding some of which remain available until expended.</p>		
	<p><b>Requirement included in draft</b></p>		<p><b>Location</b></p>
	<p><b>YES</b></p>	<p><b>NO</b></p>	
<p>Educating about the prevention of, detection of, and response to negative health effects associated with social isolation (Sec. 321(a)(8))</p>	<p><input type="checkbox"/></p>	<p><input type="checkbox"/></p>	
<p>Dissemination of information about state assistive technology entity and access to assistive technology options for serving older individuals (Sec. 321(a)(11))</p>	<p><input type="checkbox"/></p>	<p><input type="checkbox"/></p>	
<p>Providing trauma-informed services (Sec. 102(41))</p>	<p><input type="checkbox"/></p>	<p><input type="checkbox"/></p>	
<p>Screening for suicide risk (Sec. 102(14)(G))</p>	<p><input type="checkbox"/></p>	<p><input type="checkbox"/></p>	
<p>Inclusion of screening of immunization status and infectious disease and vaccine-preventable disease as part of evidence-based health promotion programs (Sec. 102(14)(B) and (D))</p>	<p><input type="checkbox"/></p>	<p><input type="checkbox"/></p>	
<p>Providing services that are part of a public health emergency/emerging health threat and emergency preparedness (Sec. 307(a)(28) and (29))</p>	<p><input type="checkbox"/></p>	<p><input type="checkbox"/></p>	

Expending American Rescue Plan funding and any other COVID-19 supplemental funding still available for expenditure	<input type="checkbox"/>	<input type="checkbox"/>	
Incorporating innovative practices developed during the pandemic that increased access to services particularly for those with mobility and transportation issues as well as those in rural areas	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Equity Topic Area</b>	Serving individuals with the greatest economic and social need means ensuring equity in all aspects of plan administration. The State Plan should address activities to support these goals.		
	<b>Requirement included in draft</b>		<b>Location</b>
	<b>YES</b>	<b>NO</b>	
Determining services needed and effectiveness of programs, policies and services for older individuals whose needs were the focus of all centers funded under title IV in fiscal year 2019 (Sec. 307(a)(30)(A))	<input type="checkbox"/>	<input type="checkbox"/>	
Engagement in outreach with older individuals whose needs were the focus of all centers funded under title IV in fiscal year 2019 (Sec. 307(a)(30)(C))	<input type="checkbox"/>	<input type="checkbox"/>	
Impacting social determinants of health of older individuals (Sec. 301(a)(1)(E))	<input type="checkbox"/>	<input type="checkbox"/>	
Ensuring meals can be adjusted for cultural considerations and preferences and providing medically tailored meals to the maximum extent practicable (Sec. 339(2)(A)(iii))	<input type="checkbox"/>	<input type="checkbox"/>	
Preparing, publishing, and disseminating educational materials dealing with the health and economic welfare of older individuals (Sec. 202(a)(7))	<input type="checkbox"/>	<input type="checkbox"/>	
Supporting cultural experiences, activities, and services, including in the arts (Sec. 202(a)(5))	<input type="checkbox"/>	<input type="checkbox"/>	
Serving older adults living with HIV/AIDS	<input type="checkbox"/>	<input type="checkbox"/>	

Supporting participant-directed/person-centered planning for older adults and their caregivers across the spectrum of LTSS, including home, community, and institutional settings	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Expanding Access to HCBS Topic Area</b>	HCBS are fundamental to making it possible for older adults to age in place.		
	<b>Requirement included in draft</b>		<b>Location</b>
	<b>YES</b>	<b>NO</b>	
Securing the opportunity for older individuals to receive managed in-home and community-based long-term care services (Sec. 301(a)(2)(D))	<input type="checkbox"/>	<input type="checkbox"/>	
Promoting the development and implementation of a state system of long-term care that is a comprehensive, coordinated system that enables older individuals to receive long-term care in home and community-based settings, in a manner responsive to the needs and preferences of the older individuals and their family caregivers (Sec. 305(a)(3))	<input type="checkbox"/>	<input type="checkbox"/>	
Ensuring that area agencies on aging will conduct efforts to facilitate the coordination of community-based, long-term care services for older individuals who: reside at home and are at risk of institutionalization because of limitations on their ability to function independently; are patients in hospitals and are at risk of prolonged institutionalization; or are patients in long-term care facilities, but who can return to their homes if community-based services are provided to them (sec. 307(a)(18(A)-(C))	<input type="checkbox"/>	<input type="checkbox"/>	
Working towards the integration of health, health care and social services systems, including efforts through contractual arrangements	<input type="checkbox"/>	<input type="checkbox"/>	

Incorporating aging network services with HCBS funded by other entities such as Medicaid	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Caregiving Topic Area</b>	Enhancing services and supports for both paid and unpaid caregivers.		
	<b>Requirement included in draft</b>		<b>Location</b>
	<b>YES</b>	<b>NO</b>	
Documenting best practices related to caregiver support (Sec. 373(e)(1))	<input type="checkbox"/>	<input type="checkbox"/>	
Strengthening and supporting the direct care workforce (Sec. 411(a)(13))	<input type="checkbox"/>	<input type="checkbox"/>	
Implementing recommendations from the RAISE Family Caregiver Advisory Council	<input type="checkbox"/>	<input type="checkbox"/>	
Coordinating Title III caregiving efforts with the Lifespan Respite Care program	<input type="checkbox"/>	<input type="checkbox"/>	
Coordinating with the National Technical Assistance Center on Grand families and Kinship Families	<input type="checkbox"/>	<input type="checkbox"/>	

*Original State Plan Requirements Checklist created by:  
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